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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/602,298	06/24/2003	Bernd Witzigmann	2879P	1201

TITLE OF INVENTION: MECHANICAL PROTECTION FOR SEMICONDUCTOR EDGE-EMITTING RIDGE WAVEGUIDE LASERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	07/06/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, PHILLIP	2828	372-043010

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Emcore Corporation

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Somserset, New Jersey

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date

12 April 2006

Typed or printed name

Daniel McGlynn

Registration No.

26,570

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PAGE 1/2 * RCVD AT 4/13/2006 3:59:49 PM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-6/43 * DNIS:2732885 * CSID:+5053325038 * DURATION (mm:ss):01:22

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